FINAL REPORT ON

NASA GRANT NGL 16-001-043

FOR RESEARCH ON WAVES IN PLASMAS

(December 1, 1966 - November 30, 1988)

by

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### I. Brief Overview

This grant for research on waves in plasmas extended continuously over a twenty-two year period. The original proposal was submitted to NASA by Profs. D. C. Montgomery and D. A. Gurnett on May 26, 1966, with Prof. Montgomery as PI. The proposal was subsequently funded with a starting date of December 1, 1966. The original purpose of the grant was to provide a broad base of support for research on waves in plasmas at the University of Iowa, including specifically the theoretical work of Prof. Montgomery and the space experimental work of Prof. Gurnett. The funds obtained were used mainly to provide support for graduate students, summer faculty salaries, and other activities of a general nature that were not supported by other contracts or grants, such as publication of review papers and travel to international conferences. This general arrangement continued until Prof. Montgomery left the University of Iowa in 1976. The grant activities were then reorganized with Prof. Gurnett becoming the PI effective on September 1, 1977. Two additional Co-Investigators, Profs. N. D'Angelo and C. K. Goertz, were added. Under this new arrangement, Prof. Goertz was responsible for theoretical studies and analysis, and Prof. D'Angelo conducted various laboratory studies and investigations. This combination of investigators was important to the success of the grant because it provided a well balanced representation of space experimental, laboratory experimental, and

theoretical studies of waves in plasmas. Over a period of several years the funding was eventually increased to the point that a full time research scientist was also supported under this grant. This situation continued up to the time that the grant was terminated on November 30, 1988. The total funding of NGL 16-001-043 over the twenty-two year period of the grant was \$2,626,173. A year-by-year breakdown of this funding is given in Section II.

During the twenty-two year period of this grant, a total of 397 research papers were supported completely or in part by this grant. A complete listing of these papers is given in Section IV. Of the research that has been accomplished by this grant, probably the most notable aspect is the strong involvement of graduate students. During the twenty-two year period of this grant a total of 57 graduate thesis projects were supported completely or in part by this grant. A complete listing of these students and the degrees awarded is given in Section III. The strong involvement of graduate students is attributed mainly to the flexibility provided by a broadly-based grant which allowed a wide latitude in assignment of research projects. without restrictive program constraints such as often exist in contracts connected with specific spacecraft projects. Also, the steady and consistent level of funding allowed us to make student appointments without serious concern about having to cancel appointments in mid-year, or in the middle of a thesis project.

Overall, we regard this grant to be very successful, both from a research point of view, and from the graduate education point of view.

# II. Funding History of NGL 16-001-043

Time Period	Amount
December 1, 1966 - November 30, 1967	\$44,989
December 1, 1967 - November 30, 1968	49,997
December 1, 1968 - November 30, 1969	75,000
December 1, 1969 - November 30, 1970	75,000
December 1, 1970 - November 30, 1971	78,000
December 1, 1971 - November 30, 1972	70,000
December 1, 1972 - November 30, 1973	66,000
December 1, 1973 - November 30, 1974	64,000
December 1, 1974 - November 30, 1975	68,000
December 1, 1975 - November 30, 1976	59,000
December 1, 1976 - November 30, 1977	85,000
December 1, 1977 - November 30, 1978	90,000
December 1, 1978 - November 30, 1979	101,000
December 1, 1979 - November 30, 1980	125,000
December 1, 1980 - November 30, 1981	186,316
December 1, 1981 - November 30, 1982	165,000
December 1, 1982 - November 30, 1983	165,000
December 1, 1983 - November 30, 1984	180,000
December 1, 1984 - November 30, 1985	180,000
December 1, 1985 - November 30, 1986	241,150
December 1, 1986 - November 30, 1987	216,000
December 1, 1987 - November 30, 1988	241,721
Total Funding	\$2,626,173

# III. Theses Supported in Full or in Part by NGL 16-001-043

Armstrong, Thomas P.	Ph.D.	Aug.	1966
Bergeson, John E.	Ph.D.		1967
Burns, Thomas B.	M.S.	June	1967
Taylor, William W. L.	M.S.	Aug.	1967
Cauffman, David P.	M.S.	June	1968
Harding, Rollin	Ph.D.	June	1968
Roque, Celso R.	Ph.D.	June	1968
Anderson, Roger R.	M.S.	June	1969
Cauffman, Mavis G.	M.S.	June	1969
Rodriguez, Paul	M.S.	June	1969
Vahala, George M.	M.S.	June	1969
Mosier, Stephen R.	Ph.D.	Jan.	1970
Shaw, Robert R.	M.S.	Jan.	1970
Vahala, George M.	Ph.D.	Jan.	1971
Cauffman, David P.	Ph.D.	May	1971
Shoucri, Magdi	M.S.	May	1972
Williams, James N.	Ph.D.	Dec.	1972
Taylor, William W. L.	Ph.D.	May	1973
Hosford, N. Douglas	M.S.	May	1973
Romesser, Thomas E.	M.S.	May	1973
Hsu, Jang-Yu	M.S.	Dec.	1973
Rodriguez, Paul	Ph.D.	July	1974
Romesser, Thomas E.	Ph.D.	Dec.	1974
Kurth, William S.	M.S.	May	1975
Cheng, Chio-Zong	Ph.D.	July	1975
Seyler, Charles E.	Ph.D.	July	1975
Shaw, Robert R.	Ph.D.	July	1975
Baumback, Mark M.	M.S.	May	1976
Anderson, Roger R.	Ph.D.	Dec.	1976
Fyfe, David E.	Ph.D.	May	1977
Gallagher, Dennis	M.S.	Dec.	1978
Kurth, William S.	Ph.D.	May	1979
Green, James L.	Ph.D.	July	1979
Herink, Kent	M.S.	July	1979
Strayer, Brian	M.S.	May	1979
Reinleitner, Lee A.	M.S.	May	1980
Huang, Cheryl Yu-Yin	Ph.D.	Dec.	1981
Gallagher, Dennis L.	Ph.D.		1982
Reinleitner, Lee A.	Ph.D.	-	1982
Fuselier, Stephen A.	M.S.	May	1983
Persoon, Ann M.	M.S.	May	1983
Tokar, Robert L.	Ph.D.	Dec.	1983
Omidi, Nojan	Ph.D.	May	1984

Seery, Joan R.	M.S.	May	1984
Fuselier, Stephen A.	Ph.D.	Dec.	1984
Kustom, Brittan	M.S.	Dec.	1984
Weimer, Daniel R.	Ph.D.	Dec.	1984
Farrell, William M.	M.S.	Dec.	1984
Steinberg, John T.	M.S.	Dec.	1985
Baumback, Mark M.	Ph.D.	May	1986
Cartier, Steven L.	Ph.D.	May	1986
Ma, Ti-Ze	Ph.D.	Aug.	1986
Farrell, William M.	Ph.D.	Aug.	1987
Suszcynsky, David M.	M.S.	May	1987
Steinberg, John T.	Ph.D.	May	1988
Kistler, Allen C.	M.S.	Aug.	1988
Boardsen, Scott A.	Ph.D.	Dec.	1988

#### IV. Papers and Publications

- Negative Ion Detection in the Ionosphere from Effects on ELF Waves
   D. Shawhan
   J. Geophys. Res., 71, 5585, 1966.
- A Satellite Study of VLF Hiss
   A. Gurnett
   J. Geophys. Res., 71, 5591, 1966.
- 3. Scattering of Energetic Particles by Laboratory Plasmas D. Montgomery, C. Roque, and I. Alexeff Phys. Fluids, 9, 2500, 1966.
- 4. Numerical Studies of the Nonlinear Vlasov Equation T. P. Armstrong <a href="Phys. Fluids">Phys. Fluids</a>, 10, 1269, 1967.
- 5. Asymptotic State of the Two-Stream Instability T. P. Armstrong and D. Montgomery <u>Journal of Plasma Physics</u>, <u>1</u>, 425, 1967.
- Shielding in Anisotropic Plasmas
   Joyce and D. Montgomery
   Phys. Fluids, 10, 2017, 1967.
- 7. Foundations of Classical Kinetic Theory
  D. Montgomery
  Lectures in Theoretical Physics, Vol. 9C, ed by W. E. Brittan and A. O. Barut, Gorden and Breach, New York, pp. 15-95, 1967.
- 8. Controlled Landau Damping of Ion-Acoustic Waves I. Alexeff, W. D. Jones, and D. Montgomery Phys. Rev. Lett., 19, 422, 1967.
- 9. Dispersion of Ion-Acoustic Waves
  K. Lonngren, D. Montgomery, I. Alexeff, and W. D. Jones
  Physics Letters, 25A, 629, 1967.
- 10. A Derivation of the Equation of Brownian Motion from the Kinetic Equation for Weakly Interacting Gases
  D. Montgomery
  Physica, 36, 663, 1967.
- 11. The Deflection of Charged Particles by a Current-Carrying Plasma G. Joyce, D. Montgomery, and C. Roque <u>Phys. Fluids</u>, <u>10</u>, 2399, 1967.
- 12. Evolution of a Nonlinear Ion Acoustic Wave D. Montgomery Phys. Rev. Lett., 19, 1465, 1967.

- 13. Response of a One-Dimensional Vlasov Plasma to External Electric Fields R. C. Harding Phys. of Fluids, 11, 2233, 1968.
- 14. Scattering of Charged Particles in a Weakly Turbulent Plasma C. Roque
  Phys. of Fluids, 11, 2471, 1968.
- 15. Numerical Studies of Turbulent Heating S. Peter Gary and D. Montgomery Phys. of Fluids, 11, 2733, 1968.
- 16. Numerical Studies of Nonlinear Ion Acoustic Waves
  T. P. Armstrong and D. Montgomery
  Proceedings of A. P. S. Topical Conference on Numerical Simulation of
  Plasma, Los Alamos, New Mexico, Sept. 18-20, 1968, Report LA-3900.
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- 18. Particle Acceleration by Electrostatic Waves with Spatially Varying Phase Velocities
  S. P. Gary, D. Montgomery, and D. W. Swift
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- 20. Morphology of VLF Emissions Observed with the Injun 3 Satellite W. W. L. Taylor and D. A. Gurnett J. Geophys. Res., 73, 5615, 1968.
- VLF Electric and Magnetic Fields Observed with the Javelin 8:45 Sounding Rocket
   D. Shawhan and D. A. Gurnett
   Geophys. Res., 73, 6549, 1968.
- 22. The Low Frequency Cutoff of ELF Emissions D. A. Gurnett and T. B. Burns J. Geophys. Res., 73, 7437, 1968.
- 23. Experimental Strong Turbulent Heating R. V. Neidigh, I. Alexeff, G. E. Guest, W. D. Jones, D. C. Montgomery, D. J. Rose, and W. L. Stirling Paper CN-24/L-2 at International Atomic Energy Agency Conference on Controlled Fusion, Novosibirsk, U.S.S.R., 1968.
- 24. Radiation from an Oscillating Magnetic Dipole in a Streaming Plasma  $J.\ E.\ Bergeson$

Radio Science, 3, 191, 1968.

- 25. Effects of Electron Temperature Variation on Ion Acoustic Waves I. Alexeff, W. D. Jones, and D. Montgomery <u>Phys. Fluids</u>, <u>11</u>, 167, 1968.
- Microburst Phenomena
   An Association between Microbursts and VLF Chorus
   N. Oliven and D. A. Gurnett
   J. Geophys. Res., 73, 2355, 1968.
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- Fluctuations in Monatomic Gases
   Montgomery
   Phys. Fluids, 12, 804, 1969.
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- 31. Numerical Study of Weakly Unstable Electron Plasma Oscillations T. P. Armstrong and D. Montgomery Phys. of Fluids, 12, 2094, 1969.
- 32. Quasilinear Theory of the Ion-Wave Instability
  David Montgomery and George Vahala
  Proc. Third European Fusion Conference and Symposium on Beam-Plasma
  Interactions, Utrecht, Netherlands, June 23-27, 1969; page 104.
- 33. VLF Measurements of the Poynting Flux Along the Geomagnetic Field with the Injun 5 Satellite
  S. R. Mosier and D. A. Gurnett
  J. Geophys. Res., 74, 5675, 1969.
- 34. Shock-like Solutions of the Electrostatic Vlasov Equation David Montgomery and Glenn Joyce

  <u>Journal of Plasma Physics</u>, 3, 1, 1969.
- 35. VLF Emissions During Magnetic Storms and their Association with 40keV Electrons
  David P. Cauffman and Donald A. Gurnett
  J. Geophys. Res., 74, 1144, 1969.

- 36. Ionospheric Observation of VLF Electrostatic Noise Related to Harmonics to the Proton Gyrofrequency S. R. Mosier and D. A. Gurnett Nature, 223, 605, 1969.
- 37. Initial Observations of VLF Electric and Magnetic Fields with the Injun 5 Satellite D. A. Gurnett, G. W. Pfeiffer, R. R. Anderson, S. R. Mosier, and D. P. Cauffman J. Geophys. Res., 74, 4631, 1969.
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- 39. Effects of Bunched Ion Bursts on Apparent Nonlinear Ion Acoustic Waves Y. H. Ichikawa

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  S. D. Shawhan and D. A. Gurnett

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  T. P. Armstrong, R. C. Harding, G. Knorr, and D. Montgomery
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- 64. Color Spectrograms of VLF Poynting Flux Data D. A. Gurnett, S. R. Mosier, and R. R. Anderson J. Geophys. Res., 76, 3022, 1971.
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  D. Montgomery
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- 69. Simulation of the "Negative Temperature" Instability for Line Vortices G. Joyce and D. Montgomery Phys. Lett., 39A, 371, 1972.
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- H. E. DeWitt and F. J. Rogers

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- 73. ELF Noise Bands Associated with Auroral Electron Precipitation D. A. Gurnett and L. A. Frank J. Geophys. Res., 77, 3411, 1972.
- 74. Sheath Effects and Related Charged Particle Acceleration by Jupiter's Satellite Io
  D. A. Gurnett
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- 75. Direct Observations of Low-Energy Solar Electrons Associated with a Type III Solar Radio Burst L. A. Frank and D. A. Gurnett Solar Physics, 27, 446, 1972.
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- 77. Injun 5 Observations of Magnetospheric Electric Fields and Plasma Convection
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- 78. Satellite Measurements of High Latitude Convection Electric Fields D. P. Cauffman and D. A. Gurnett Space Sci. Rev., 11, 111, 1972.
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  G. Joyce and D. Montgomery
  <u>Journal of Plasma Physics</u>, <u>10</u>, 107, 1973.
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- 83. Sheath Acceleration of Photoelectrons by Jupiter's Satellite Io S. D. Shawhan, R. F. Hubbard, G. R. Joyce, and D. A. Gurnett <a href="Photon and Particle Interactions with Surfaces in Space">Photon and Particle Interactions with Surfaces in Space</a>, ed. by R. J. L. Grard, D. Reidel Publishing Company, p. 405, 1973.

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  D. W. Swift and D. A. Gurnett
  - J. Geophys. Res., 78, 7306, 1973.
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  - D. A. Gurnett and R. R. Shaw J. Geophys. Res., 78, 8136, 1973.
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  J.-Y. Hsu, D. Montgomery, and G. Joyce
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  J.-Y. Hsu, G. Joyce, and D. Montgomery
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